

Advisors with \$850M in Combined AUM Join Ameriprise

Almost a dozen advisors with combined assets of about \$850 million have joined Ameriprise, the firm said.

Gautam Bose, Jay Matthews, Jacqwyn Harrell, Gary Fouts and Keith Burton recently moved their independent practice to Ameriprise, where they report to field vice president James Hiter. The team, managing \$232 million in client assets, previously had been affiliated with Next Financial Group based in Bethesda, Md.

Bose started his career as an advisor with Smith Barney in 1993, according to FINRA BrokerCheck records. He joined Next Financial Group in 2003. Harrell entered the industry in 1985 as an advisor with Advantage Capital and joined the Next Financial in 2010.

Burton started his career with U.S. Capital Securities in 1984 and joined the group in 2012, BrokerCheck records show. Matthews, who started in the industry with Associated Financial Services in 1996, joined the team in 2003. Gary Fouts started his career with the group in 2008.

Recruits also defected to Ameriprise from two wirehouses and two regional rivals.

Ex-UBS advisors Carolyn Thur and Richard Devlin made the move with \$214 million and \$66 million in client assets respectively, according to Ameriprise. Thur will be based in Chicago and Devlin in Tacoma, Wash.

According to FINRA's BrokerCheck, Thur worked for UBS for 22 years, and Devlin for eight.

Bryan Cheeseman and Michael Metzger, two former Morgan Stanley advisors, also joined the firm. Cheeseman had managed \$89 million in client assets and Metzger \$101 million, according to Ameriprise. Cheeseman is based in Wilmington, Del., and Metzger in Wayzata, Minn. Both advisors worked for Morgan for about six years before their moves, BrokerCheck records show.

Gerald Klatz, previously with Janney Montgomery Scott, had managed \$71 million in client assets before moving to Ameriprise, the firm said. Klatz had worked for Janney since 1984. He is based in Philadelphia.

David Dziubek, who previously worked at Stifel where he managed \$89 million in client assets, also made the move to Ameriprise, the firm said. Dziubek had been with Stifel since 2004. He started with Offerman in 1987, BrokerCheck records show.